

29th September to 3rd October - Chania, Crete



# The quality and consistency of urban climate adaptation plans in 327 European cities





Monica Salvia & Filomena Pietrapertosa





BME Attila Buzasi







**Peter Eckersley** 





Diana Reckien









### Introduction

### Cities at the centre of global Climate Action:

- responsible for more than 75% of GHGs
- are facing a growing threat from climate change, with increased frequency and intensity of floods and heatwaves impacting infrastructure, public health, etc.





i.e., achieving net zero GHG emissions by balancing emissions released so that they are equal to or less than the amount removed (UNFCCC)



i.e., a city's ability to survive, adapt and thrive in the face of climate-related shocks and stresses (Climate Resilient Cities)

There is an adaptation 'gap' between climate goals and the measures being undertaken



### Research questions



Are city governments addressing these climate threats in their official Adaptation Climate Action Plans (A-CAPs)?

Are these plans internally consistent, i.e, with clear alignment between climate risks, policy goals, measures and monitoring & evaluation?



We present evidence from two recent studies conducted by core members of the **EURO-LCP Initiative** to address these research questions

The EURO-LCP Initiative collects local climate plans and policies in European cities and assesses their content with respect to important plan quality criteria, ambition levels, sectoral scope and depth, integration and mainstreaming.



The Initiative originated from the COST Action TU0902, 'Integrated assessment technologies to support the sustainable development of urban areas', which took place from 2009 to 2013.





(Reckien et al., 2023)

### "Quality of urban climate adaptation plans over time"

npj Nature Urban Sustainability









M. Salvia et al., 1st FutureMed Workshop, Chania, 30/09/2025

### Two recent studies



(Reckien et al., 2025)

### "Explaining the adaptation gap through consistency in adaptation planning"

Nature Climate Change

#### nature climate change **Brief Communication** Explaining the adaptation gap through consistency in adaptation planning Diana Reckien O'..... Attila Buzasi O'. Marta Olazabal G'.<sup>4</sup>, Paris Fokaides O'. Received: 9 August 2024 ilomena Pietrapertosa O<sup>67</sup>, Peter Eckerslev O<sup>6</sup> & Monica Salvia O Accepted: 8 April 2025 Published online: 12 May 2025 An adaptation gap exists when adaptation efforts fail to meet adaptation Check for update needs. While conceptualizing and operationalizing this gap is challenging, we argue that it should begin with aligning climate risks with policy goals, measures and monitoring and evaluation-what we refer to as 'consistency' within adaptation policy. Through a comprehensive European study, we demonstrate how assessing consistency in adaptation plans can enhance understanding and helpreduce the adaptation gap. social and environmental risks, particularly for cities, where about adaptation tracking. However, challenges remain in defining societalis 75% of European people live<sup>2</sup>. European ofties face an adaptation accepted goals and impact levels. Moreover, existing research falls upp, despite planning for adaptation for decades<sup>2</sup> and receiving abortof elucidating strategies for effectively reducing the adaptation both institutional and financial support. According to UNEP anadapt a- (implementation) gap. tion gap is "the difference between actually implemented adaptation and a societally set goal, determined largely by preferences related In this study we contribute to these discussions focusing on the 'internal adaptation policy consistency' question. Based on a European to tolerated climate change impacts and reflecting resource limita-large-scale study on local adaptation planning and its progress", w tions and competing priorities. Conceptualizing and operationalizing demonstrate how a series of consistency checks applied to adaptation this gap remains challenging, mainly because of difficulties in plans could theoretically and methodologically advance the evaluadefining adaptation and measuring tis basedines, progress and goals', ton of the adaptation (implementation) gap and, most importantly, however, while is socially acceptable depends on the connect and may be defined in the connection of the adaptation (implementation) gap and, most importantly, however, while is socially acceptable depends on the adaptation (implementation) gap and, most importantly, incomparison of the adaptation (implementation) gap and, most importantly, incomparison of the adaptation (implementation) gap and, most importantly, incomparison of the adaptation (implementation) gap and, most importantly, incomparison of the adaptation (implementation) gap and, most importantly, incomparison (implementation) gap and, implementation (implementation) gap and implementation (imp conceptualization of adaptation", which is needed to ensure that any Towermpirical studies have assessed the adaptation gap using a documented difference in adaptation over time and space" is not a clear methodological approach and set of defined process. Specifi-cally in urban contexts, studies on single\* and large scale cities\* in the United States have quantified the adaptation gap using climate models—thoughout themselves. We argue that the origins of an adaptation gas and government reports to justify a some plant of continued said government reports to justify a some plant of government of the said part of

documents and the actual implementation of adaptation actions on the ground\*\*\*. One of the most elaborate studies to date involved reticul foundation. We contend that only through rigorous\*\*, cradible\*\* structured expert judgement to evaluate possible dimensions where and frequently updated planning routines can genuine adaptation

adaptation gaps manifest, such as potential and actual levels of risk progress be assessed. This is because shifting baselines, targets and knowledge, planning, action, capacities, evidence on risk reduction concurrent societal processes complicate comparisons across different and long terms trategic nuttways. The study was applied across 61 local entenylron mental states 120. Moreover, we around that the adaptation

Department of Othan and Regional Planning and Geo-Information Nanagement, Faculty of Geo-Information Science and Farth Observation, Economics, Budapest, Hangary, Essayor Centrefor Climate Change (SCS), Parque Gertifico UPV/EHU, Leios, Epsin, MCRBASQUE, Basque Fo for Science, Bilbao, Spain, "School of Engineering, Frederick University, Nicosia, Dyprus, "Institute of Methodologies for Environmental Analysia, National Research Chunck of Italy, Tito Soxio, Italy, "National Ricchemity Future Center, Palerno, Italy, "Nottingham Trent University, Nottingham, IE e mail direction@atwenter

NatureClimateClunge

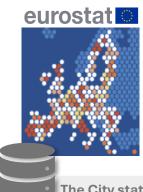
### Developing a methodology to measure the quality of urban adaptation plans







Gathering of planning documents



**327 European cities** (*Eurostat's City statistics database*, formerly Urban Audit)

The City statistics database (Urban Audit)



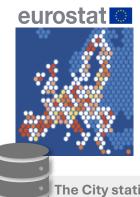
### Developing a methodology to measure the quality of urban adaptation plans



Sample selection

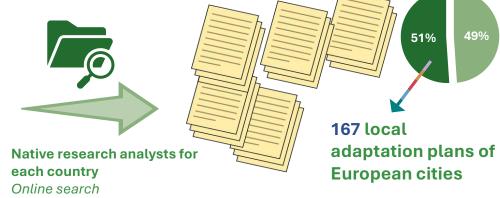


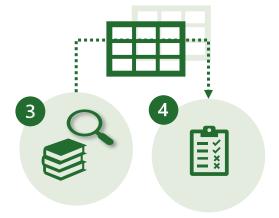
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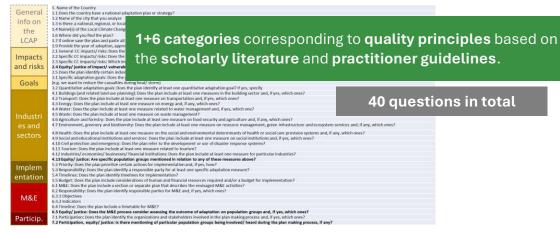




Content analysis

Data cleaning







Reliability of 91% deviation of 15 coded entries of 167 A-CAPs

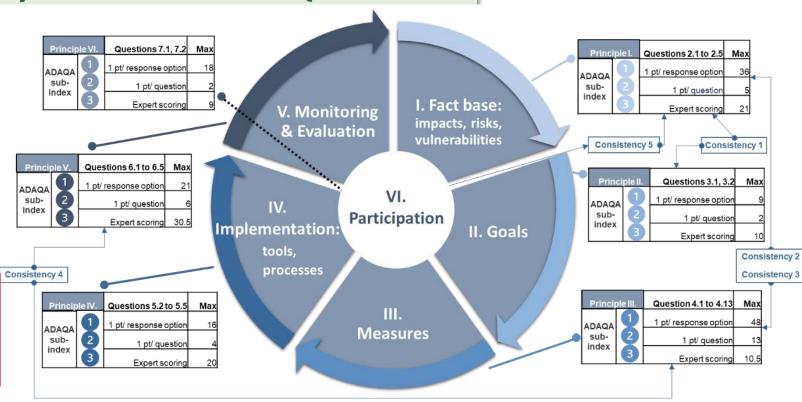
Tested by an external team member on the M&E component



#### The ADAptation plan Quality Assessment index: the ADAQA index

#### **Index construction**

- The ADAQA index is based on six wellestablished plan quality principles, i.e.
   Comprehensive coverage + Consistency
- Three different sub-indices developed, ADAOA-1, ADAOA-2, ADAOA-3
- ADAQA-3 uses complex heuristics and our expert judgement, stressing the need for consistency between different parts of the plan.



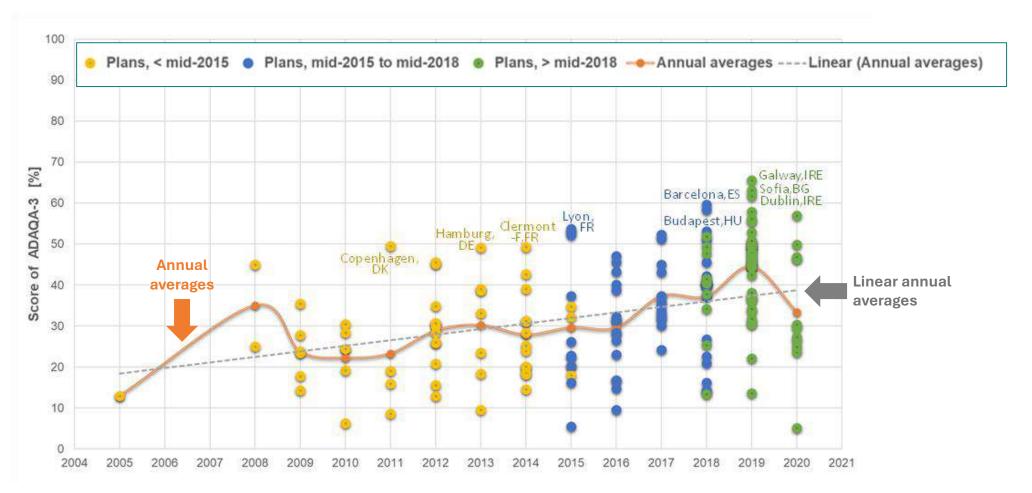
**Plan quality** is defined as the strengths of plans assumed to lead to effective implementation and reduced tradeoffs and maladaptation.



#### Finding#1:

**Plan Quality in European cities is increasing** from 2005 to 2020, by about 1.3 percentage points/ year.

Data analysis





#### Finding #2:

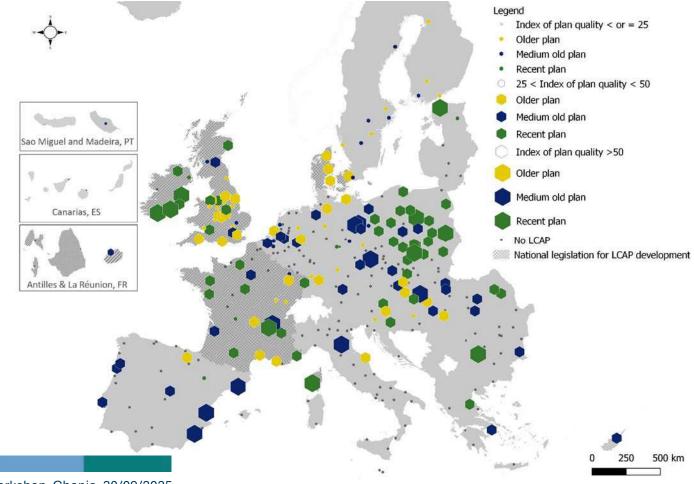
**Newer plans (in green) are higher in quality.** These are mainly found in cities in Ireland, France, and Eastern Europe, in particular Poland. Most of these cities follow a national model.

There are also some good plans before 2018, mostly in larger cities.

#### Data analysis



Top-ranking cities are Sofia (BG), Galway (IE), Waterford (IE), Dublin (IE), and Potsdam (DE).



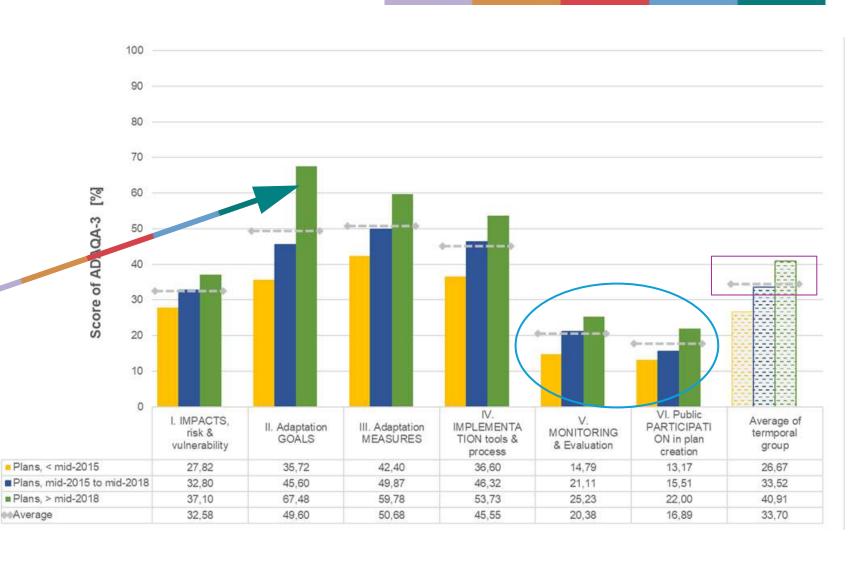


Data analysis

### Finding #3:

### Across principles,

- adaptation goals improved most in recent plans (in green).
- Specifying M&E, and participation is generally low.
- On average, plans reach 34% of coverage/ comprehensiveness.

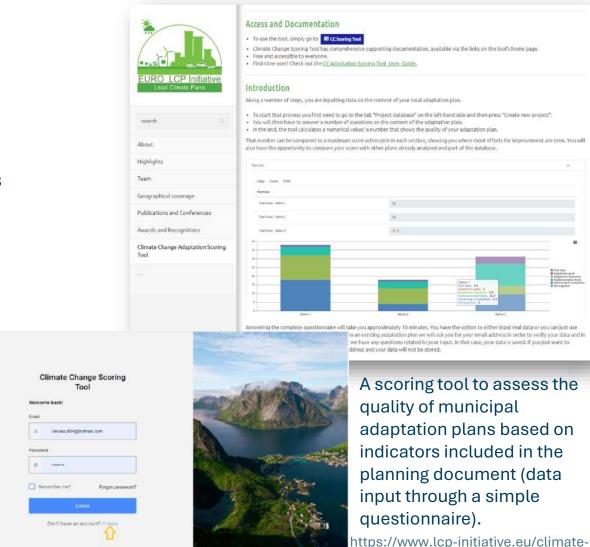


### **Further developments:**

- The ADAptation plan Quality Assessment (ADAQA) index was incorporated into the Climate Change Adaptation Scoring Tool:
  - An online scoring tool to help decision makers and practitioners to measure and track the quality of A-CAPs



https://climate-adapt.eea.europa.eu/en/mission/solutions/tools/018\_climate-change-adaptation-scoring-tool-euro-lcp-initiative



change-scoring-tool/

### **Further developments:**

2. Contribution to the **EEA** Report on urban adaptation



3. Collaboration with **EU Joint Research Centre (JRC)** to apply the methodology to the adaptation pillar of **SECAPs** in the Global Covenant of Mayors (GCoM) database

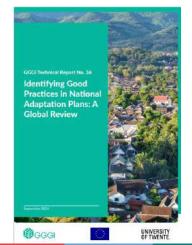




TH-AL-24-003-EN-N Urban adaptation 29 04 24.pdf

4. Collaboration with **Global Green Growth Institute (GGGI)** to apply the methodology to review the National Adaptation Plans (NAPs) of developing countries





#### Report Release on 30<sup>th</sup> Sep 2024:

Identifying Good Practices in National Adaptation Plans: A Global Review — Global Green Growth Institute

Guidelines and recommendations for developing good quality, robust NAPs.



Global review and assessment on multi-level national adaptation planning for system transformation





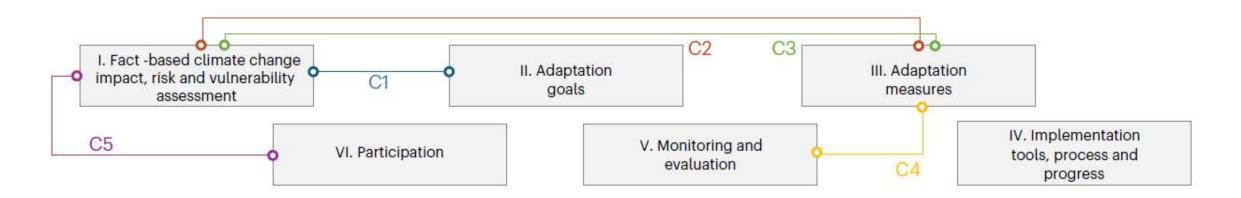




We assessed the **current adaptation gap** at urban level by **analysing 'consistency'** in adaptation planning.



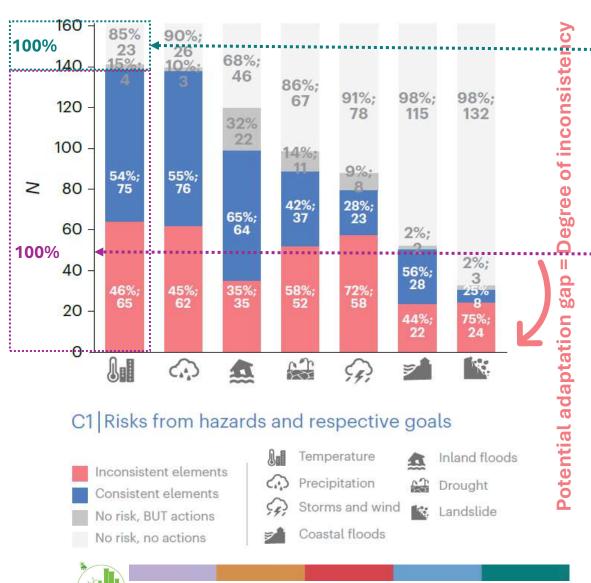
**5 'consistency checks'** at **different stages of the adaptation management process**, using the same data on coverage and the five forms of consistency.



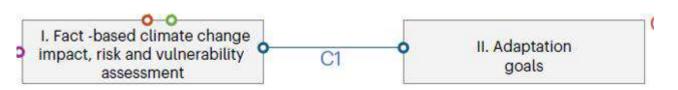
This highlighted gaps, misalignments and inconsistencies between the various planning phases of the 167 European cities.

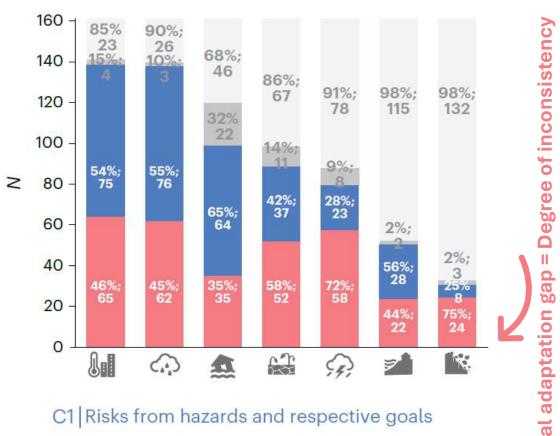
# 2

### Explaining the adaptation gap through consistency...



- percentage figures on grey bars add up to 100%, showing cities without risk information and measures (and with or without measures/actions).
- figures on coloured bars add up to 100%, representing cities with respective risk information or planned measures (and with or without the second element checked for consistency);





Inconsistent elements
Consistent elements
No risk, BUT actions
No risk, no actions

Temperature
Inland floods
Torought
Storms and wind
Coastal floods

I. Fact -based climate change impact, risk and vulnerability assessment

II. Adaptation goals

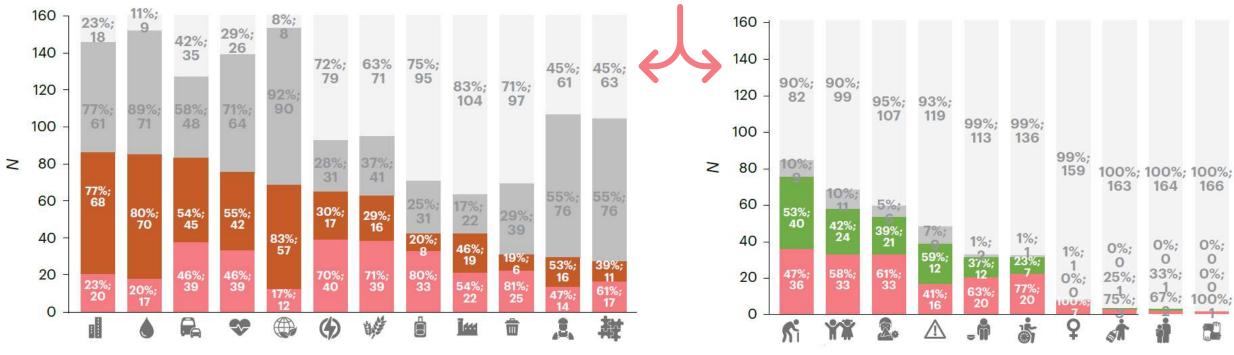
### **C1**

- **2/5 of cities** with hazard risk information unearth a potential adaptation gap—that is, they **identify risks/hazards without a related adaptation goal**.
- Substantial variation across hazards exists, particularly for landslides and wind/storm changes, which are identified as a risk but not adequately followed up with an adaptation goal.
- About 1/3 of plans state an adaptation goal related to inland flooding without flooding being identified as a risk—the largest such inconsistency among C1.



M. Salvia et al., 1st FutureMed Workshop, Chania, 30/09/2025





#### C2 Risks for sectors and respective measures

- Inconsistent elements Consistent elements No risk, BUT actions

  - No risk, no actions
- Buildings
- Transport
  - Health
- Environment
- Energy Agriculture
- Tourism
- Industries (general)
- Civil protection
- Social institutions

#### C3 Risks for vulnerable groups and respective measures

- Inconsistent elements Consistent elements No risk, BUT actions
- No risk, no actions
- The elderly
- Children
- 'Vulnerable'
- The poor



- Q Women/gender
- Migrants
- Lone parents

Ethnic communities

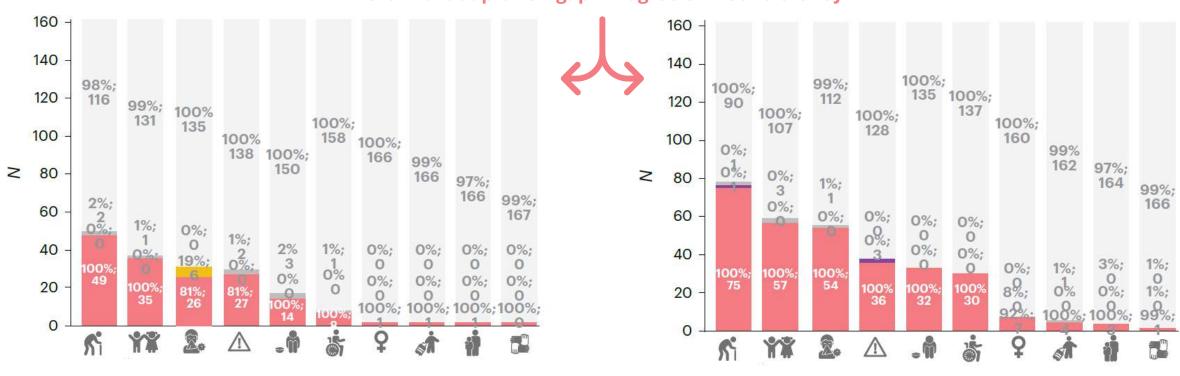






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#### Potential adaptation gap = Degree of inconsistency



#### C4 Measures for vulnerable groups and respective M&E

Sick people

'Vulnerable'

- Inconsistent elements Consistent elements
- No risk, BUT actions
- No risk, no actions
- The elderly Children
  - - Immobile people Women/gender
- Ethnic communities

Lone parents

Migrants

- Inconsistent elements
- Consistent elements No risk, BUT actions
- No risk, no actions
- The elderly

C5 Risks for vulnerable groups and respective participation

- Children
- Sick people
- 'Vulnerable'
- Immobile people
- Women/gender
- Migrants
- Lone parents
- Ethnic communities



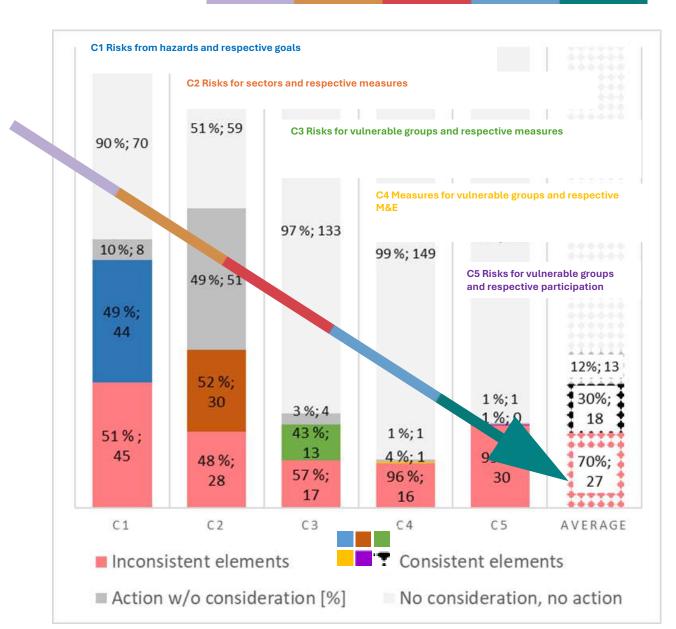






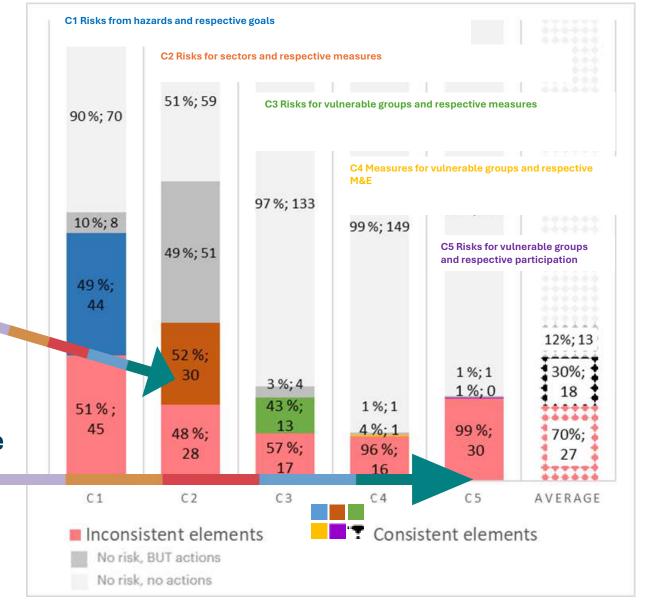
### On average:

- → Adaptation gap: 70% of our checks across all the CAPs identified inconsistencies within the adaptation process
  - → i.e. if there is an identified risk, there is no related follow-up action
  - → Only 30% are consistent



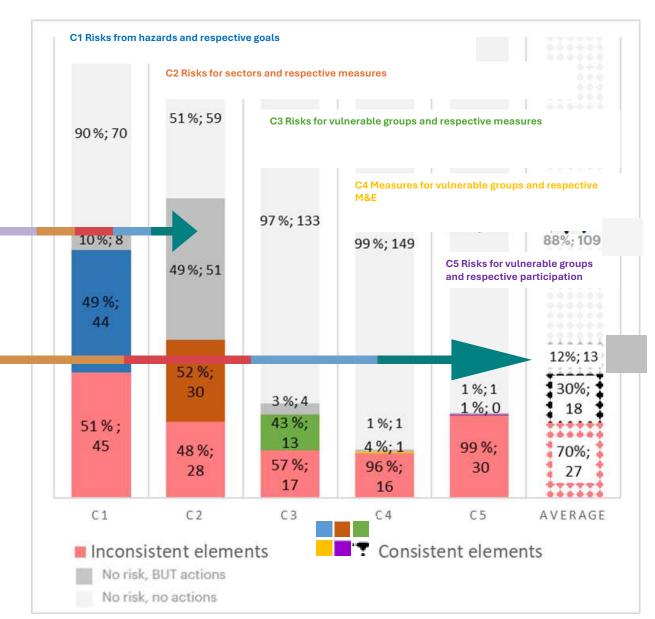
### On average:

- → C2 shows the highest consistency: 52% of plans fully aligning sectoral risks with adaptation measures.
- → C5 shows the lowest consistency: only 1% of plans effectively involve vulnerable groups in plan development.



### On average:

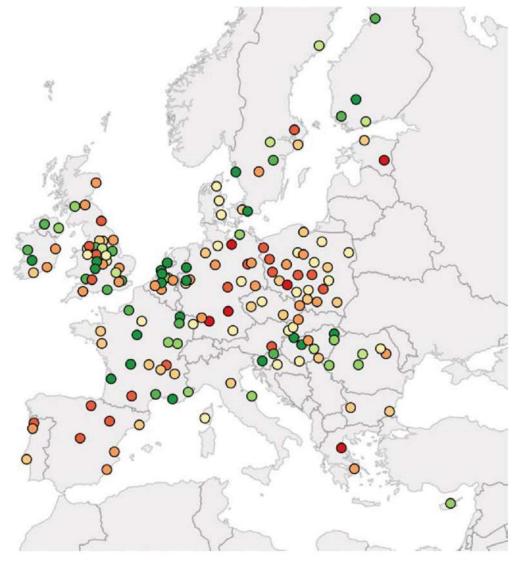
- many adaptation goals and measures lack preceding risk assessment
- → particularly in sector-specific planning where 49% of decisions are made without risk consideration
- →12% of plans have actions w/o an identified risk/ need, e.g.:
  - → A goal w/o risk; A measure w/o risk, Participation w/o risk, M&E w/o a measure



- → Plans in Eastern & Southern Europe, but also in Germany, are less consistent than in other parts of Europe
  - → Lack of consideration of vulnerable groups and those in need
- → Contributing to an adaptation gap







### In conclusions



npj Nature Urban Sustainability (Reckien et al., 2023)

- Our findings suggest that, overall, the quality of A-CAPs improved from 2005 to 2020, primarily in terms of setting adaptation goals, proposing varied and thorough adaptation measures, and detailing their implementation.
- However, there has only been a slight improvement in monitoring plan implementation and involving civil society in the planning process.



Nature Climate Change (Reckien et al., 2025)

- 70% of our checks across all the A-CAPs identified inconsistencies within the adaptation process.
- o These mainly arise from a **lack of consideration of social vulnerability**, particularly regarding **planning the implementation of measures for vulnerable groups** and involving them in the development and evaluation of plans.
- This could reduce the effectiveness of A-CAPs, as vulnerable groups are at risk of being exposed to more extreme weather events caused by climate change.



















### Dr. Monica Salvia (monica.salvia@cnr.it)

Consiglio Nazionale delle Ricerche (CNR-IMAA), Italy



ORCID https://orcid.org/0000-0001-8989-0377



Linked in https://www.linkedin.com/in/monica-salvia-7656711a/?originalSubdomain=it